

| | | | | |
|---|------------------------|------------------|------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 09782412 | |
| | Filing Date | | 2001-02-13 | |
| | First Named Inventor | Gary P. Mousseau | | |
| | Art Unit | 2448 | | |
| | Examiner Name | Aaron N. Strange | | |
| | Attorney Docket Number | 1400-1072D5 | | |

| U.S.PATENTS | | | | | | |
|-------------------|---------|---------------|------------------------|------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
| | 1 | | | | | |

If you wish to add additional U.S. Patent citation information please click the Add button.

| U.S.PATENT APPLICATION PUBLICATIONS | | | | | | |
|-------------------------------------|---------|--------------------|------------------------|------------------|---|--|
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
| | 1 | | | | | |

If you wish to add additional U.S. Published Application citation information please click the Add button.

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² | Kind Code ⁴ | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear | T ⁵ |
| | 1 | 0128439 | EP | | 1988-01-07 | Hoechst Aktiengesellschaft | | <input type="checkbox"/> |
| | 2 | 0128441 | EP | | 1984-12-19 | Henkel KGaA | | <input type="checkbox"/> |
| | 3 | 1096725 | EP | | 2001-05-02 | Research in Motion Limited | | <input type="checkbox"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 09782412 |
| Filing Date | 2001-02-13 |
| First Named Inventor | Gary P. Mousseau |
| Art Unit | 2448 |
| Examiner Name | Aaron N. Strange |
| Attorney Docket Number | 1400-1072D5 |

| | | | | | | | |
|---|-----------|----|--|------------|----------------------------|--|--------------------------|
| 4 | 1096726 | EP | | 2009-07-29 | Research in Motion Limited | | <input type="checkbox"/> |
| 5 | 1096727 | EP | | 2006-03-22 | Research in Motion Limited | | <input type="checkbox"/> |
| 6 | 08-009053 | JP | | 1996-01-12 | Casio Comput. Co. Ltd. | | <input type="checkbox"/> |
| 7 | 09-181723 | JP | | 1997-07-11 | Fujitsu Ltd. | | <input type="checkbox"/> |
| 8 | 10-074221 | JP | | 1998-03-17 | Digital Vision Lab | | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T ⁵ |
|--------------------|---------|---|--------------------------|
| | 1 | CANADIAN FEDERAL COURT, Statement of Defence and Counterclaim, Research In Motion Ltd. v. Visto Corporation, Case No. T-1105-06, filed Nov. 15, 2006, 31 pgs. | <input type="checkbox"/> |
| | 2 | CANADIAN FEDERAL COURT, Pinpoint Citations in Satisfaction of the Order of Madam Prothonotary Tabib Dated December 19, 2006, Research In Motion Ltd. v. Visto Corporation, Case No. T-1105-06, Jan. 31, 2007, 31 pgs. | <input type="checkbox"/> |
| | 3 | EUROPEAN HIGH COURT OF JUSTICE, CHANCERY DIVISION, PATENTS COURT, Statement of Defence and Counterclaim, Particulars of Infringement, and Grounds of Invalidity, Research In Motion UK Limited v. Visto Corporation, Case No. HC-06-CO4227, filed Feb. 2, 2007, 77 pgs. | <input type="checkbox"/> |
| | 4 | EUROPEAN HIGH COURT OF JUSTICE, CHANCERY DIVISION, PATENTS COURT, Witness Statement of Jeanie Treichel, Research In Motion UK Limited v. Visto Corporation, Case No. HC-06-CO4227, dated Dec. 29, 2007, 4 pgs. | <input type="checkbox"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 09782412 |
| Filing Date | 2001-02-13 |
| First Named Inventor | Gary P. Mousseau |
| Art Unit | 2448 |
| Examiner Name | Aaron N. Strange |
| Attorney Docket Number | 1400-1072D5 |

| | | |
|----|--|--------------------------|
| 5 | US DISTRICT COURT FOR THE EASTERN DISTRICT OF VIRGINIA, Declaration of David A. Keeney, NTP, Inc. v. Research in Motion, Ltd., Case No. 3:01-cv-00767, filed Sept. 3, 2002, 35 pgs. | <input type="checkbox"/> |
| 6 | CIPO, Office Action, Application No. 2,511,594, Apr. 22, 2008, 2 pgs. | <input type="checkbox"/> |
| 7 | CIPO, Office Action, Application No. 2,511,594, Feb. 3, 2009, 4 pgs. | <input type="checkbox"/> |
| 8 | CIPO, Office Action, Application No. 2,511,594, Nov. 24, 2009, 4 pgs. | <input type="checkbox"/> |
| 9 | CIPO, Office Action, Application No. 2,511,594, Nov. 29, 2010, 4 pgs. | <input type="checkbox"/> |
| 10 | CIPO, Office Action, Application No. 2,511,594, May 2, 2011, 5 pgs. | <input type="checkbox"/> |
| 11 | EPO, Communication Pursuant to Article 96(2) EPC, Application No. 00128439.7, Feb. 16, 2007, 4 pgs. | <input type="checkbox"/> |
| 12 | EPO, Invitation Pursuant to Article 96(2) and Rule 51(2) EPC, Application No. 00128440.5, Mar. 30, 2007, 2 pgs. | <input type="checkbox"/> |
| 13 | EPO OPPOSITION DIVISION, Opposition to EP 1096727 of Research in Motion UK Limited by Visto Corporation, Research in Motion Limited v. Visto Corporation, Opposition re EP 1096727, Dec. 21, 2006, 39 pgs. | <input type="checkbox"/> |
| 14 | EPO OPPOSITION DIVISION, Reply to Opposition, Research in Motion Limited v. Visto Corporation, Opposition re EP 1096727, filed Aug. 8, 2007, 32 pgs. | <input type="checkbox"/> |
| 15 | EPO OPPOSITION DIVISION, Opposition Letter by Visto Corporation, Research in Motion Limited v. Visto Corporation, Opposition re EP 1096727, Jan. 12, 2009, 3 pgs. | <input type="checkbox"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 09782412 |
| Filing Date | 2001-02-13 |
| First Named Inventor | Gary P. Mousseau |
| Art Unit | 2448 |
| Examiner Name | Aaron N. Strange |
| Attorney Docket Number | 1400-1072D5 |

| | | |
|----|--|--------------------------|
| 16 | EPO OPPOSITION DIVISION, Decision Rejecting the Opposition (Art. 1201(2) EPC), Research in Motion Limited v. Visto Corporation, Opposition re EP 1096727, May 14, 2009, 10 pgs. | <input type="checkbox"/> |
| 17 | EPO OPPOSITION DIVISION, Minutes of the Oral Proceedings Before the Opposition Division, Application No. 00128441.3, May 14, 2009, 6 pgs. | <input type="checkbox"/> |
| 18 | JPO, Notice of Reasons for Rejection, Application No. 2004-45498, Nov. 16, 2007, 5 pgs. | <input type="checkbox"/> |
| 19 | JPO, Notice of Reasons for Rejection, Application No. 2004-45498, Jun. 10, 2008, 7 pgs. | <input type="checkbox"/> |
| 20 | ALLMAN, ERIC, Sendmail-An Internetwork Mail Router, Jul. 29, 1991, 13 pgs. | <input type="checkbox"/> |
| 21 | BINDER ET AL., The ALOHAnet Menehune, v2, ALOHA System Technical Report, Sept. 1974, 112 pgs., USA. | <input type="checkbox"/> |
| 22 | BIXBY, MARK, Announce: Aliaserv, v1.0, Self-serve E-mail Aliases, Google Groups Discussion: comp.mail.misc, Mar. 24, 1994, 3 pgs., http://groups.google.com/group/comp.mail.misc/browse_thread/thread/d391285c06284025/432b1f7646747431 . | <input type="checkbox"/> |
| 23 | BURCH ET AL., Motorola Envoy Press Clippings, Google Groups Discussion: comp.sys.pen, Mar. 7-17, 1994, 10 pgs., http://groups.google.com/group/comp.sys.pen/browse_thread/thread/21e7f8890df6d647/a09454f4a3673101 . | <input type="checkbox"/> |
| 24 | CARTHY, CIARAN, MAPI Notification, MAPI Developers Forum Reply, Apr. 17, 1996, 2 pgs. | <input type="checkbox"/> |
| 25 | CLARK ET AL., PCMAIL: A Distributed Mail System for Personal Computers, RFC 984, May 1986, 30 pgs., Internet Engineering Task Force, Network Working Group. | <input type="checkbox"/> |
| 26 | COHEN, STEPHANE, MAPI Notification, MAPI Developers Forum Post, Apr. 17, 1996, 2 pgs. | <input type="checkbox"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 09782412 |
| Filing Date | 2001-02-13 |
| First Named Inventor | Gary P. Mousseau |
| Art Unit | 2448 |
| Examiner Name | Aaron N. Strange |
| Attorney Docket Number | 1400-1072D5 |

| | | |
|----|--|--------------------------|
| 27 | COMPAQ, Aero 2100 Series Color Palm-size PC Reference Guide, Aug. 1999, 193 pgs, 2d ed., Compaq Computer Corporation, USA. | <input type="checkbox"/> |
| 28 | DAWSON ET AL., iCalendar Message-Based Interoperability Protocol (iMIP), RFC 2447, Nov. 1998, 4 pgs., Internet Engineering Task Force, Network Working Group. | <input type="checkbox"/> |
| 29 | DIEHL ET AL., Need to Rewrite from Field on Outgoing Mail, Google Groups Discussion: comp.mail.sendmail, May 23-24, 1996, 2 pgs., Sendmail, Inc., USA, http://groups.google.com/group/comp.mail.sendmail/browse_frm/thread/d9d6f674d19d98fc/3115b5749202f8f7 . | <input type="checkbox"/> |
| 30 | DILWORTH ET AL., Wireless as an Internet On-Ramp & Local Loop By-Pass, COOK Report on Internet Protocol, Technology, Economics, Policy, accessed Dec. 12, 2006, 45 pgs. | <input type="checkbox"/> |
| 31 | EDEVANG ET AL., The IP Network Address Translator (NAT), RFC 1631, May 1994, 10 pgs., Internet Engineering Task Force, Network Working Group. | <input type="checkbox"/> |
| 32 | FUNK, ANDREW, A Personal Packet Radio Mailbox Using Roserver: Automated Packet Radio for Individuals, 8th ARRL Amateur Radio Computer Networking Conference, Oct. 7, 1989, 18 pgs., USA. | <input type="checkbox"/> |
| 33 | GADOL ET AL., Nomadic Tenets: A User's Perspective, SMLI Technical Report, Jun. 1994, 16 pgs., Sun Microsystems Laboratories, Inc., USA. | <input type="checkbox"/> |
| 34 | GARBEE, BDALE, New Release of KA9Q Internet Package, Sept. 12, 1987, 5 pgs. | <input type="checkbox"/> |
| 35 | GOLDSZMIDT ET AL., ShockAbsorber. A TCP Connection Router, IEEE Global Telecommunications Conference Record, Nov. 3-8, 1997, pp. 1919-23, Vol. 3, USA. | <input type="checkbox"/> |
| 36 | IBM, Portable Terminal User's Guide, Jun. 1985, 277 pgs., 3d ed. | <input type="checkbox"/> |
| 37 | KNOWLES, BRAD, Frequently Asked Questions, Google Groups Discussion: comp.mail.sendmail, Mar. 24, 1997, 47 pgs., Sendmail, Inc., USA. | <input type="checkbox"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 09782412 |
| Filing Date | 2001-02-13 |
| First Named Inventor | Gary P. Mousseau |
| Art Unit | 2448 |
| Examiner Name | Aaron N. Strange |
| Attorney Docket Number | 1400-1072D5 |

| | | |
|----|--|--------------------------|
| 38 | KREBS, JAY, Portable Computer and Host Talk Over Radio-Frequency Link, Electronics, Aug. 25, 1983, pp. 142-145, McGraw-Hill, Inc., USA. | <input type="checkbox"/> |
| 39 | KUEHN, CARL, SAM: More than Email, Southwest Computer & Business Equipment, Feb. 1990, 2 pgs., Vol. VII, No. 2, USA. | <input type="checkbox"/> |
| 40 | LEVITT, JASON, Moving Mail with No Strings Attached: Users Love Wireless Electronicmail's Convenience, Jan. 24, 1994, 4 pgs., Information Access Company, USA. | <input type="checkbox"/> |
| 41 | LONG, GEOFF, The PAN Mongolia Experience, Dec. 6, 1996, 3 pgs., International Development Research Centre, Canada. | <input type="checkbox"/> |
| 42 | MOTOROLA, Operating Instructions for Your "PMR 2000" Series Display Radio Pagers Personal Message Center, 1987, 7 pgs., Motorola, USA. | <input type="checkbox"/> |
| 43 | MOTOROLA, PMR 2000: Personal Message Receiver Specification, 1986, 6 pgs., Motorola, USA. | <input type="checkbox"/> |
| 44 | NELSON, MARK W., Wireless Data Services: Here and Now, v2.6, PDA Developers, Nov. 1994, 3 pgs., Creative Digital Publishing, Inc. | <input type="checkbox"/> |
| 45 | REICH, RICHARD, Sendmail V8: A (Smoother) Engine Powers Network Email, accessed Nov. 12, 2006, 16 pgs. | <input type="checkbox"/> |
| 46 | REITER, ALAN A., Focus on Technology, Telocator, Jan. 1990, 4 pgs. | <input type="checkbox"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 09782412 |
| Filing Date | 2001-02-13 |
| First Named Inventor | Gary P. Mousseau |
| Art Unit | 2448 |
| Examiner Name | Aaron N. Strange |
| Attorney Docket Number | 1400-1072D5 |

| | | |
|----|---|--------------------------|
| 47 | SEYBOLD, ANDREW M., Using Wireless Communications in Business, 1994, 74 pgs, Van Nostrand-Reinh, Thomson Publishing Company, International. | <input type="checkbox"/> |
| 48 | SIEWIOREK ET AL., Networks, Computer Structures: Principles and Examples, pp. 387-428, 1982, McGraw-Hill, Inc., USA. | <input type="checkbox"/> |
| 49 | STROM, DAVID, Mobile Computing Comes of Age, Network World, 1995, 4 pgs., also available at http://www.strom.com/pubworklnw95.html . | <input type="checkbox"/> |
| 50 | SUN MICROSYSTEM, JavaMail API Design Specification Dec. 9, 1997, 86 pgs., Sun Microsystems, Inc., USA. | <input type="checkbox"/> |
| 51 | TAKAHASHI ET AL., Communication Method with Data Compression and Encryption for Mobile Computing Environment, INET96 Proceedings, Jun. 24-28, 1996, 11 pgs., Canada. | <input type="checkbox"/> |
| 52 | TANENBAUM, ANDREW, Network Layer: Mobile IP, Computer Networks, 1996, Section 5.5.8, 3rd ed., Prentice-Hall PTR, USA. | <input type="checkbox"/> |
| 53 | TEKNOW!, Information on SAMpage and AlphaBox, 10 pgs., TekNow!, USA. | <input type="checkbox"/> |
| 54 | TEKNOW!, SAM System for Automated Messages, User's Manual, Sept. 1988, 17 pgs., TekNow!, Inc., USA. | <input type="checkbox"/> |
| 55 | TEKNOW!, SAMpage Manual, V.2.0, Feb. 1990, 49 pgs., TekNow!, Inc., USA. | <input type="checkbox"/> |
| 56 | Visto Corporation Launch Tour Presentation, Oct. 1997, 18 pgs., USA. | <input type="checkbox"/> |
| 57 | WADA ET AL., Mobile Computing Environment Based on Internet Packet Forwarding, USENIX, Jan. 25-29, 1993, pp. 503-517, USA. | <input type="checkbox"/> |

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

| | |
|------------------------|------------------|
| Application Number | 09782412 |
| Filing Date | 2001-02-13 |
| First Named Inventor | Gary P. Mousseau |
| Art Unit | 2448 |
| Examiner Name | Aaron N. Strange |
| Attorney Docket Number | 1400-1072D5 |

| | | |
|----|---|--------------------------|
| 58 | Wireless Packet Network Helps Red Cross Keep Communicating: IBM's 9075 Pcradio, Communications News, Apr. 1993, 3 pgs., Nelson Publishing. | <input type="checkbox"/> |
| 59 | WOODS ET AL., Alias Updates, Google Groups Discussion: comp.mail.sendmail, Apr. 24-27, 1990, 4 pgs., Sendmail, Inc., USA, http://groups.google.com/group/comp.mail.sendmail/browse_thread/thread/2a29648e0774e686/5213a85ce6d69c45 . | <input type="checkbox"/> |
| 60 | WyndMail for Windows CE Archived Information, Feb. 9, 1997, 8 pgs., WyndCommunications, USA, available at http://web.archive.org/web/19970209082529/www.wynd.com/ce/cefaq.html . | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.